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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	MODULATING IMMUNE RESPONSES
--------------------	-----------------------------

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/719,642, filed on November 21, 2003,
☐ as amended on _____ (if applicable);

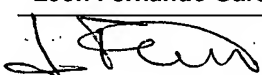
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one:	<u>Leon Fernando Garcia-Martinez</u>	Citizen of:	<u>Mexico</u>
Signature:	<u></u>	Date:	<u>April 12/04</u>

Inventor two:	<u>Yuching Chen</u>	Citizen of:	<u>Taiwan</u>
Signature:	_____	Date:	_____

Inventor three:	<u>Dawn Andrews</u>	Citizen of:	<u>United States</u>
Signature:	_____	Date:	_____

Inventor four:	_____	Citizen of:	_____
Signature:	_____	Date:	_____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/01A (05-03)

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FULL NAME OF INVENTOR(S)

Inventor one: Leon Fernando Garcia-Martinez Citizen of: Mexico

Signature: _____ Date: _____

Inventor two: Yuching Chen Citizen of: Taiwan

Signature: Yuching Chen Date: 4/8/04

Inventor three: Dawn Andrews Citizen of: United States

Signature: _____ Date: _____

Inventor four: _____ Citizen of: _____

Signature: _____ Date: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

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FULL NAME OF INVENTOR(S)

Inventor one: <u>Leon Fernando Garcia-Martinez</u>	Citizen of: <u>Mexico</u>
--	---------------------------

Signature: _____	Date: _____
------------------	-------------

Inventor two: <u>Yuching Chen</u>	Citizen of: <u>Taiwan</u>
-----------------------------------	---------------------------

Signature: _____	Date: _____
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Inventor three: <u>Dawn Andrews</u>	Citizen of: <u>United States</u>
-------------------------------------	----------------------------------

Signature: <u><i>Dawn Andrews</i></u>	Date: <u>04/09/04</u>
---------------------------------------	-----------------------

Inventor four: _____	Citizen of: _____
----------------------	-------------------

Signature: _____	Date: _____
------------------	-------------

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Form PTO-1595
(Rev. 10/02)
OMB No. 0651-0027 (6/30/2005)

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U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Leon Fernando Garcia-Martinez
- 2) Yuching Chen
- 3) Dawn Andrews
- 4)
- 5)
- 6)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date(s):

- 1) April 12, 2004
- 2) April 8, 2004
- 3) April 9, 2004
- 4)
- 5)
- 6)

2. Name and address of receiving party(ies)

Name: Celltech R & D Limited

Internal Address: _____

Street Address: 208 Bath Road

City: Slough State Berkshire Zip SL1 3WE

Country: United Kingdom

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
10/719,642

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Davis Wright Tremaine LLP

Internal Address: Jane E. R. Potter

2600 Century Square

1501 Fourth Avenue

Seattle, WA 98101-1688

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

04-0258

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jane E. R. Potter

Name of Person Signing



Signature

April 15, 2004

Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, we, Leon Fernando Garcia-Martinez, Yuching Chen, and Dawn Andrews (hereinafter referred to as ASSIGNORS), having post office addresses of 4923 214th Street South East, Woodinville, Washington 98072, 13620 South East 43rd Street, Bellevue, Washington 98006, and 4950 North East 193rd Street, Lake Forest Park, Washington 98155, respectively, are the joint inventors of an invention entitled "MODULATING IMMUNE RESPONSES," as described and claimed in the specification forming part of an application for United States letters patent filed November 21, 2003, as United States Patent Application No. 10/719,642. This application is a Continuation of International Patent Application No. PCT/US02/37739, filed on November 21, 2002, which claims the benefit of U.S. Provisional Application No. 60/331,958, filed on November 21, 2001. This application also claims the benefit of U.S. Provisional Application No. 60/428,130, filed on November 21, 2002 and U.S. Provisional Application No. 60/476,279, filed on May 22, 2003.

WHEREAS, Celltech R & D Limited (hereinafter referred to as ASSIGNEE), a corporation having a place of business at 208 Bath Road, Slough, Berkshire SL1 3WE, United Kingdom, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and

assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

April 12 104
Date

By [Signature]
Leon Fernando Garcia-Martinez

STATE OF Washington)
COUNTY OF Pierce) ss.

I certify that I know or have satisfactory evidence that Leon Fernando Garcia Martinez is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

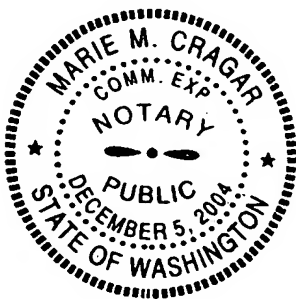
Dated April 12th, 2004
Signature of Notary Public [Signature]
Printed Name Kate Eddy
My appointment expires 6/9/04

4/8/04
Date

By Yuching Chen
Yuching Chen

STATE OF Washington)
COUNTY OF King) ss.

I certify that I know or have satisfactory evidence that Yuching Chen is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.



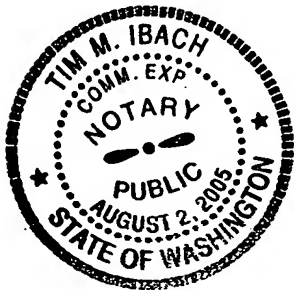
Dated April 10, 2004
Signature of Marie M. Cragar
Notary Public
Printed Name Marie M. Cragar
My appointment expires 12-05-04

Date 04/09/04

By Dawn Andrews
Dawn Andrews

STATE OF WA)
COUNTY OF King) ss.

I certify that I know or have satisfactory evidence that Dawn Andrews is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.



Dated 04/10/04
Signature of [Signature]
Notary Public
Printed Name Tim M Ibach
My appointment expires 08/02/05

04/15/2004
700078650

Form PTO-159s (Rev. 10/02) OMB No. 0651-0027 (6/30/2005)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
Tab settings					
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy the eof.					
1. Name of conveying party(ies): 1) Leon Fernando Garcia-Martinez 2) Yuching Chen 3) Dawn Andrews 4) 5) 6) Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>Celltech R & D Limited</u> Internal Address: _____ _____ Street Address: <u>208 Bath Road</u> _____ City: <u>Slough</u> State <u>Berkshire</u> Zip <u>SL1 3WE</u> Country: <u>United Kingdom</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date(s): 1) April 12, 2004 2) April 8, 2004 3) April 9, 2004 4) 5) 6)		4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>10/719,642</u> B. Patent No.(s) Additional numbers attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning documents should be mailed: Name: <u>Davis Wright Tremaine LLP</u> Internal Address: <u>Jane E. R. Potter</u> <u>2600 Century Square</u> <u>1501 Fourth Avenue</u> <u>Seattle, WA 98101-1688</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$40 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>04-0258</u> (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Jane E. R. Potter Name of Person Signing Signature April 15, 2004 Date Total number of pages including cover sheet, attachments, and documents:					

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231SEA 1491604v1 60117-86
Seattle

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



700078650A

APRIL 22, 2004

PTAS

DAVIS WRIGHT TTEMAINE LLP
JANE E. R. POTTER
2600 CENTURY SQUARE
1501 FOURTH AVENUE
SEATTLE, WA 98101-1688

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/15/2004

REEL/FRAME: 014521/0231
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GARCIA-MARTINEZ, LEON FERNANDO

DOC DATE: 04/12/2004

ASSIGNOR:

CHEN, YUCHING

DOC DATE: 04/08/2004

ASSIGNOR:

ANDREWS, DAWN

DOC DATE: 04/09/2004

ASSIGNEE:

CELLTECH R & D LIMITED
208 BATH ROAD
SLOUGH BERKSHIRE SL1 3WE
UNITED
KINGDOM

014521/0231 PAGE 2

SERIAL NUMBER: 10719642
PATENT NUMBER:

FILING DATE: 11/21/2003
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS